

The adsorbate and local region are then treated at high accuracy as embedded in the Coulomb and exchange field of the remainder of the electronic system. There are various ways to carry out such calculations and the present approach most closely resembles that in refs 22 and 23, where the details of the method are discussed. The use of electron exchange as the basis of a localization transformation has been extensively discussed in refs 19–21.

The current implementation of the theory makes use of an effective potential representation of the bulk electrons interacting with the embedded surface region. Calculations are thereby greatly simplified while maintaining the principal objectives of insulating the surface sites from spurious boundary effects and allowing a response of the bulk electrons to the surface processes. Surface sites are described by an improved basis (radial, polarization, and correlation functions), and in the case of transition metals by variable occupancy.

Final electronic wave functions, including the adsorbate, are constructed by configuration interaction, and the coupling of the local subspace and adsorbate to the bulk lattice electrons, $\{\psi\}$, is represented by a pseudopotential.

$$\Psi = \sum_k \lambda_k A(\chi_k^\dagger \chi_k \dots \chi_N^\dagger \chi_N) \phi_m \dots \phi_N$$

local pseudo-
region potential

The cluster geometry and local region of the nickel cluster used to model the (111) crystal face of nickel are shown in Figure 1. The three-layer, 62-atom cluster consists of a surface layer of 28 atoms, a second layer of 17 atoms, and a third layer of 17 atoms. Embedding theory is used to reduce the Ni₆₂ cluster to a 28-atom model depicted as shaded atoms: the surface layer of 14 atoms, a second layer of 9 atoms, and a third layer of 5 atoms. For the local surface region of four nickel atoms (see Figure 1) a [1s–3p] core potential is used and valence d orbitals are explicitly included. Other Ni atoms are described by an effective core potential for [1s–3d] electrons. For all boundary atoms, and those in the third layer, the core potential is further modified to account for bonding to the bulk region, as described in refs 22 and 23. The Ni core basis and core density and exchange expansions are the same as those used in a previous study of nickel surface states,²⁴ and the Ni and H basis sets are the same as those used in previous calculations on H/Ni(111).²² A double- ζ s and p basis for carbon is taken from Whitten.²⁵ Polarization functions (4p) perpendicular to the surface were included on the four atoms in the local region; however, in-plane Ni 4p functions were excluded due to their near linear dependency with combinations of Ni 4s functions on surrounding nuclei. The same basis set and core potentials are used in all subsequent calculations on the Ni(111) surface and for CH₃ adsorption calculations.

The sites considered for CH₃ adsorption are as follows: a hollow 3-fold site where there is no second layer nickel atom underneath (fcc extension of the lattice), a filled 3-fold site with a second layer nickel atom underneath (hcp extension of the lattice), a bridge site, and an atop atom site (denoted by A, C, B, and D, respectively, in Figure 1). Distances from the surface were fully optimized.

Calculations are performed by first obtaining self-consistent-field (SCF) solutions for the nickel cluster plus adsorbed species. The occupied and virtual orbitals of the SCF solution are then transformed separately to obtain orbitals spatially localized about the four-atom surface region shown in Figure 1 and the adsorbate(s). This unitary transformation of orbitals is based upon exchange maximization with the valence orbitals of atoms belonging to the surface region and is designed to enhance convergence of the configuration interaction (CI) expansion.^{19–21} The CI calculations involve single and double excitations from multiple parent configurations within a 30-electron subspace to 28 possible virtual localized orbitals. All configurations arising from excitations with an interaction energy greater than 1×10^{-5} hartree with the parent SCF configuration are explicitly retained in the expansion; contributions of excluded configurations are estimated with use of second-order perturbation theory. For all sites calculated, the SCF solution is the only dominant configuration, and the next largest coefficient of a configuration in the CI expansion is about 0.1. Details of the procedure are given in ref 26. Basis superposition contributions to the adsorption energy were investigated by calculating the energy of the Ni cluster with the adsorbed

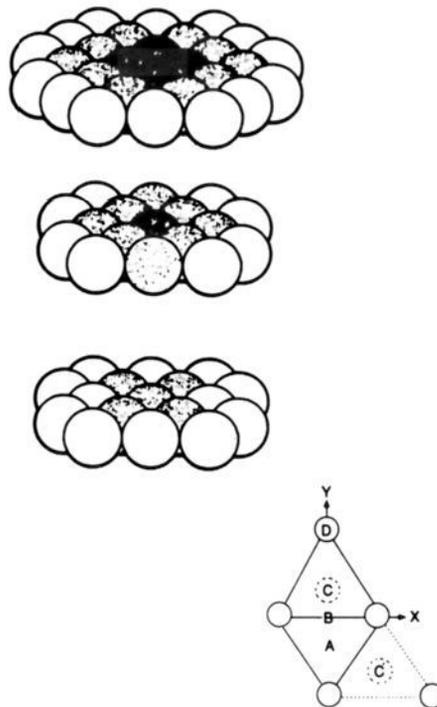


Figure 1. Cluster geometry and local region of the nickel cluster used to model the (111) crystal face of nickel. The three-layer, 62-atom cluster consists of a surface layer of 28 atoms, a second layer of 17 atoms, and a third layer of 17 atoms. Embedding theory is used to reduce the Ni₆₂ cluster to a 28-atom model depicted as shaded atoms. Surface sites are A, the hollow 3-fold site where there is no second layer nickel atom underneath (fcc extension of the lattice); C, the filled threefold site with a second layer nickel atom underneath (hcp extension of the lattice); B, the bridge site; and D, the atop atom site. Atoms surrounding the four central atoms in the surface layer and those surrounding the one central atom in the second layer are described by effective potentials for (1s–3p core)(3d)⁹(4s)^{1/2} and (1s–3p core)(3d)⁹(4s)^{1/4} configurations, respectively. Effective potentials for the shaded atoms in the third layer describe the (1s–3p core)(3d)⁹(4s)^{3/5} configuration. Unshaded atoms have neutral atom (1s–3p core)(3d)⁹(4s)¹ potentials. All atoms have Phillips–Kleinman projectors $\sum |Q_m\rangle \langle Q_m| (-\epsilon_m)$ for the fixed electronic distribution. The nearest neighbor Ni–Ni distance is 2.48 Å.

species' virtual basis present (but not the adsorbate nuclei) for points near the equilibrium geometries.

On the question about the CI size consistency in the present work, the zero-point energy is computed at the distance of 25 au between CH₃ and the substrate, and the same size of 30 electron CI and 28 localized virtual space are used. Our previous chemisorption studies of H, CH, CH₂, CH₄, H₂O, and NH₃ on the same cluster^{22,27,31–34} have shown this procedure minimizes size inconsistency errors.

III. CH₃ Adsorption

In the initial carbon–surface distance optimization, the C–H bond lengths are fixed at 1.07 Å and the HCH angle at 109.5°, and the hydrogens are in a plane parallel to the surface, as shown in Figure 2a. Two different hydrogen orientations in CH₃ are considered for CH₃ at 3-fold sites: the H's pointing either toward the nearest Ni atoms or toward the Ni–Ni bond centers. Our recent study of NH₃/Ni(111)²⁷ and Hoffmann and co-workers' results for CH₃ adsorbed on metal surface¹³ indicated that the most stable geometry of CH₃ at a 3-fold adsorption site is for the H's pointing toward the nearest metal atoms. Therefore, the initial

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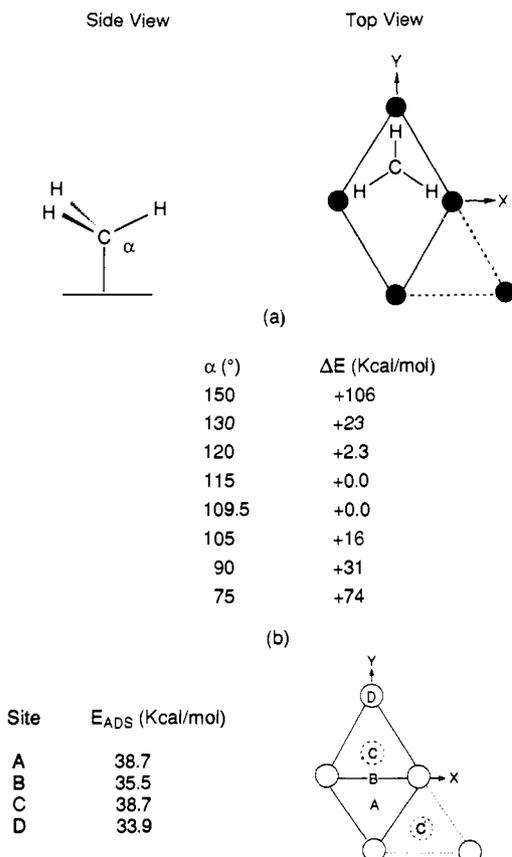


Figure 2. CH₃ adsorption sites on Ni(111) and calculated energies. (a) Geometry of CH₃ adsorbed at the 3-fold site C. α is the angle between C-H bonds and the surface normal. All C-H bonds length are 1.08 Å, unless otherwise indicated. (b) The variation in energy with the angle of α . Adsorption energies for sites A-D are for CH₃ for a near tetrahedral geometry with the hydrogens in a plane parallel to the surface.

optimization reported below is based upon a CH₃ pyramidal geometry with the H's oriented toward the nearest Ni atoms at the 3-fold sites. The CH₃ molecule was translated in the Y direction from the hcp 3-fold site C, as shown in Figure 2a, to obtain the bridge site (B) and the atop atom site (D) geometries. Other orientations and geometries for CH₃ will be discussed in detail in a subsequent section.

The calculated adsorption energies, Ni-C equilibrium distances, and vibrational frequencies for CH₃ on Ni(111) are reported in Table I, along with some other calculated results for CH₃ on nickel surfaces. Adsorption energies are 39 kcal/mol (site A), 36 kcal/mol (site B), 39 kcal/mol (site C), and 34 kcal/mol (site D) with Ni-C bond distances of 2.35, 2.34, 2.33, and 2.03 Å (corresponding to 1.86, 1.98, 1.84, and 2.03 Å perpendicular distances from the surface), respectively. For the CH₃-(Ni surface) perpendicular stretch, the calculated frequencies are 386, 296, 369, and 416 cm⁻¹ for adsorption sites A, B, C, and D, respectively. The calculated values for CH₃ at the 3-fold sites are in good agreement with a value of 370 cm⁻¹ attributed to the CH₃-Ni stretching mode in EELS measurements by Ceyer and co-workers.⁹

From the present results, it is clear that there is no energetic difference between the hollow and filled 3-fold sites and that these are the most stable adsorption sites for CH₃ on the Ni(111) surface. This finding is somewhat similar to that for hydrogen chemisorbed on nickel, a result not surprising since hydrogen and methyl are chemically somewhat similar. Schule, Siegbahn, and Wahlgren, by using ab initio CI cluster calculations and varying the cluster sizes, have studied methyl chemisorption on Ni(111).¹⁴ Their estimated adsorption energies are 43-48 kcal/mol for CH₃ adsorbed at atop atom sites on Ni₁ and Ni₁₀ clusters and 45-50 kcal/mol for CH₃ adsorbed at 3-fold sites on Ni₄, Ni₂₀, and Ni₂₂ clusters. The difference between the 3-fold and on-top sites is

Table I. CH₃ Chemisorption on Ni(111)

site	$R,^a$ Å	$E_{\text{ads}},$ kcal/mol	H ₃ C-Ni stretch, cm ⁻¹	C-H stretch, cm ⁻¹
this work ^b				
3-fold (A)	2.35	38.7 (43.4)	386	
bridge (B)	2.34	35.5 (39.2)	296	
3-fold (C)	2.33	38.7 (43.6)	369	2966
tilted (C)	2.14	37.1 (40.8)	377	2627, 2901 ^c
on-top (D)	2.03	33.9 (36.4)	416	
expt			370 ^d	2680 ^d
Schule et al. ^e				
3-fold	2.38	45-50	353	2935
on-top	1.90	43-48	597	3141
Shustorovich, Bell ^f				
3-fold		30		
on-top		26		
Upton ^g				
4-fold	2.19	66.6		
2-fold	2.08	60.1		

^a R is the distance from carbon to nearest surface Ni. ^bCI calculations on CH₃ adsorbed at hollow 3-fold (A), filled 3-fold (C), bridge (B), and atop atom (D) sites on a 28-atom cluster model of the Ni(111) surface. Energies in parentheses are uncorrected for basis superposition effects. ^cTwo C-H vibrational frequencies are obtained, see text. ^dEELS results from refs 9. ^eReference 14. The calculated Ni-CH₃ and C-H stretching frequencies are obtained from Ni₁CH₃ for on-top and Ni₄CH₃ for 3-fold site values, respectively. Chemisorption energies are estimated on the basis of CH₃ adsorbed at different clusters, see text. ^fReferences 15 and 16. CH₃ on Ni(111) based on semiempirical bond-order conservation method. ^gReference 17. CH₃ chemisorbed on a 20-atom cluster model of Ni(100).

only 2 kcal/mol with the 3-fold site calculated to be slightly more stable. Their Ni-C bond lengths are 2.38 and 1.90 Å for the 3-fold and atop sites, respectively, consistent with the present results. Their calculated CH₃-(Ni surface) stretching frequencies are 353 cm⁻¹ for the 3-fold site in Ni₄CH₃ and 597 cm⁻¹ for the atop site in Ni₁CH₃. Calculated C-H stretching frequencies are 2935 and 3141 cm⁻¹ for 3-fold and atop adsorption sites in Ni₄CH₃ and Ni₁CH₃, respectively. Their conclusion that the preferred chemisorption site for CH₃ on Ni(111) is the 3-fold site is the same as ours, however, the energy difference between the atop and 3-fold sites is found to be somewhat larger in the present work (4.8 vs 2 kcal/mol). In the calculations by Schule et al.,¹⁴ the Ni 3d orbitals were not explicitly included for CH₃ at 3-fold sites, while for CH₃ at the on-top site, the single nearest Ni atom was described at the all-electron level.

Gavin, Reutt and Muetterties,¹⁰ by performing extended Hückel cluster model calculations, obtained an energy difference between the threefold site and the atop atom site of 19 kcal/mol for methyl chemisorbed on the Ni(111). Although no absolute adsorption energies were given, the relative energies for different sites favored the threefold position on the Ni(111) surface. Minot, van Hove and Somorjai,¹¹ using the extended Hückel method, reported completely different results for CH₃ chemisorbed on Pt(111) surface. They found methyl to be strongly unbound at the threefold site by 49 kcal/mol, and bound at an atop atom site with a chemisorption energy of 38 kcal/mol. In a recent extended Hückel study, Zheng, Apeloig and Hoffmann¹² found that the most stable adsorption site for CH₃ on Co(111) is the atop atom site with an adsorption energy of 85 kcal/mol. The threefold site was higher by 32 kcal/mol. An adsorption energy of 58 kcal/mol for CH₃ at the three-fold site on the (111) fcc metal surface like Ni is reported by Baetzold¹³ using extended Hückel method. These varying results obtained by the extended Hückel methods are difficult to understand unless they are caused by differences in the parametrization schemes used in the different studies.

A recent theoretical study by Shustorovich and Bell, using a semiempirical bond-order conservation method,^{15,16} provides another reference point for CH₃ adsorption on nickel. This method has been successful in rationalizing trends in atomic chemisorption.^{15c} In their earlier calculations, chemisorption energies of 32 and 26 kcal/mol have been reported for CH₃ at a 3-fold and

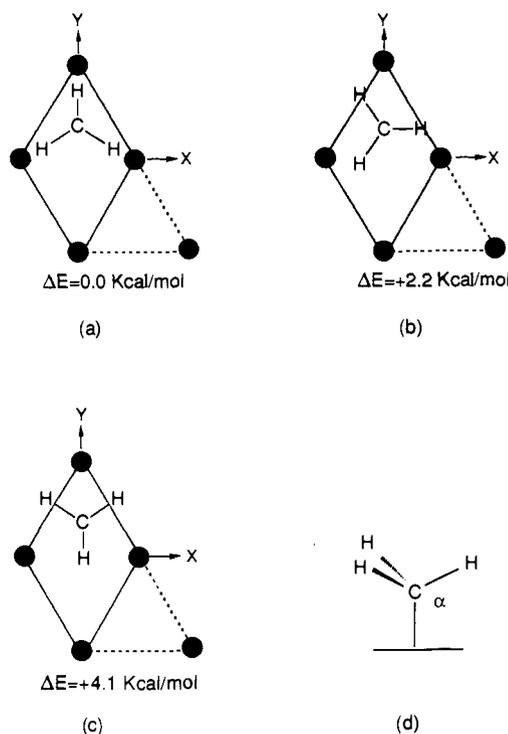


Figure 3. The orientations of hydrogens in CH₃ on Ni(111). (a–c) Top views for CH₃ at the 3-fold site C. (d) A side view. The corresponding adsorption energy for CH₃ with preferred hydrogen orientation in (a) is 38.7 kcal/mol. Hydrogens are in a plane parallel to the surface with a tetrahedral geometry.

an atop adsorption site on the Ni(111) surface. Most recently, their method has been improved to take into account the difference between the “strong” and “weak” chemisorption bond as two distinct cases requiring different formalisms.¹⁶ With this modification the calculated chemisorption energy of CH₃ at the 3-fold site on Ni(111) is 48 kcal/mol. An earlier ab initio study of the interaction between methyl and nickel surfaces is reported by Upton.¹⁷ By applying the generalized valence bond (GVB) method and using a 20-atom cluster model of Ni(100), binding energies of 67 kcal/mol for CH₃ adsorption in the 4-fold hollow site and 60 kcal/mol in the bridge site were obtained. These binding energies are higher than that of Schule et al.¹⁴ and our results for Ni(111) but similar to the bond energy of 60 kcal/mol for the NiCH₃ molecule calculated by Rappe and Goddard using the GVB method and CI calculations.¹⁸ The Ni–C bond length obtained in the NiCH₃ calculations is 1.87 Å, which is close to the present Ni–C bond distance of 2.03 Å at an atop site. Again, the preferred adsorption site for CH₃ is not an on-top site but is a 4-fold hollow site, i.e., the highest coordination site of the (100) surface.

IV. CH₃ Geometry

For CH₃ adsorbed at the filled 3-fold site C, Figure 2b shows the energy differences as a function of the angle between the surface normal and the C–H bond with the distance of carbon from the surface fixed at the equilibrium distance. These results show that the energy is essentially unchanged from $\alpha = 110$ – 115° (corresponding to an angle of HCH of 109.5° and 103.4°). Thus, we conclude that chemisorption of methyl on the Ni(111) surface allows low-energy fluctuations in the C–H bond angles about an equilibrium value of $112 \pm 2^\circ$. A similar equilibrium value of $\alpha = 112^\circ$ was obtained by Schule et al.¹⁴

The above results assume an orientation of the CH₃ pyramidal geometry with the H's pointing toward the nearest Ni atoms at the 3-fold sites A and C and bridge and on-top geometries obtained by translation of the 3-fold site C orientation. Figure 3 depicts three different hydrogen orientations for CH₃ at the filled 3-fold site C. Rotating the H's by 30° about the surface normal, as shown in Figure 3b, increases the energy by 2.2 kcal/mol. If the

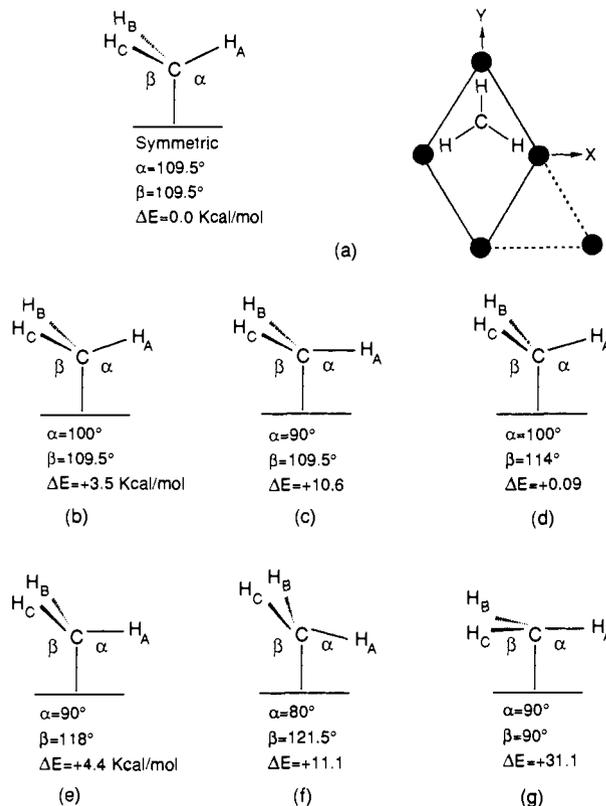


Figure 4. Geometries and energies of CH₃ intermediates on Ni(111). Energies (kcal/mol) are relative to that of the most stable geometry depicted in (a) which corresponds to adsorption at the 3-fold site C with $E_{\text{ads}} = 38.7$ kcal/mol. α is defined as the angle between the C–H_A bond and the surface normal and β as the angle between H_BC or H_CC and the surface normal; the angle H_BCH_C is 109.5° for all the geometries shown.

H's are rotated by 60° about the surface normal to orient the C–H bonds between neighboring nickel atoms, as shown in Figure 3c, the barrier goes up to 4.1 kcal/mol. No rotational barrier is found for CH₃ at an atop atom site, and a barrier of about 0.5 kcal/mol is calculated at the bridge site for a 60° rotation. A similar conclusion was drawn by Zheng, Apeloig, and Hoffmann.¹² They reported that an adsorbed methyl radical on the Co(111) surface can freely rotate at on-top and bridge sites. They obtained a rotational barrier of 6.3 kcal/mol (for 60° rotation about the surface normal) at the 3-fold site with the preferred orientation of hydrogens pointed toward the nearby metal atoms, the same as found in the current study. They interpret the hydrogens of the CH₃ group as interacting with both metal–metal (M–M) bonding and antibonding states in the stable geometry, whereas in the less stable geometry they interact only with the M–M bonding state.

The results presented thus far in this work are based upon the symmetric distortion of methyl on the nickel surface. For asymmetric distortions of CH₃, Figure 4 depicts the most important intermediate structures calculated. Figure 4 shows several distortions of hydrogen(s) or approaches the hydrogen(s) to the surface to be energetically unfavorable. If the H_B–C and H_C–C bonds are kept unchanged and only the H_A–C bond is distorted (see Figure 4b and c), the energy increases 3.5 kcal/mol relative to the equilibrium geometry when the angle α between the H_A–C bond and the surface normal is decreased by 9.5° . The energy is further increased to 10.6 kcal/mol for a change of $\alpha = 19.5^\circ$. When the HCH angles are kept at 109.5° and H_A is rotated toward the substrate (Figure 4, d–f), the energy also increases compared to the most stable geometry. For a decrease in angle α between the H_A–C bond and the surface normal of 9.5° , 19.5° , and 29.5° , the energy increases 0.09, 4.4, and 11 kcal/mol, respectively. If the methyl is distorted into a planar configuration above the surface (see Figure 4g), the energy increases by 31 kcal/mol. On optimization of the distance from carbon to the

surface for the planar geometry of Figure 4g, the minimum energy is still 23 kcal/mol higher than the equilibrium geometry of Figure 4a, for a perpendicular distance of 2.67 Å. It can thus be concluded that the direct bonding between H atoms and the lattice is too weak to attract one of the hydrogens toward the surface, i.e., there is no evidence of an agostic interaction. This conclusion is similar to that of Hoffmann and Upton,²⁸ who argued that the CH-metal interaction is repulsive. It does not agree with the inference by Demuth et al.²⁹ and Ceyer and co-workers⁹ from the observation of low or soft C-H frequencies that the CH-metal bond exhibits an attractive H-bonding interaction between the surface.

Other discussions of C-H...metal interactions have been given by Raval and Chesters.³⁰ By using EELS measurements, they studied cyclohexane adsorbed on Pd(110) and Ni(110) and reviewed spectra in the literature of this adsorbate on Pt(111), Pd(111), Ni(111), Cu(111), and Ru(001) surfaces. The strength of the cyclohexane-metal bond was found to be related to the extent of CH mode softening, with higher desorption temperatures exhibited as the softening increases until sufficient CH bond softening occurs to cause dehydrogenation.³⁰ Thus, it is concluded that the CH mode softening observed for cyclohexane on a variety of surfaces could be interpreted in terms of C-H...metal interaction, akin to bonding of the molecule, via some of its CH groups, to the surface.

An interesting picture of bonding between CH_x fragments and metal surfaces, which is drawn by Zheng et al.¹² and Minot et al.,¹¹ using the extended Hückel method, is that the fragments tend to bring back their missing CH bond with CH consequently preferring the 3-fold site, CH₂ the bridge site, and CH₃ the atop atom site. This trend is not reproduced in our ab initio CI calculations, however. The present results and our previous studies of H, CH, and CH₂ on Ni(111)^{22,31,32} show that all CH_x and H species are most strongly adsorbed at the 3-fold site, or in some cases with comparable binding at a bridge site, i.e. the higher coordination sites on the Ni(111) surface. According to the present calculations, if an adsorbate has unpaired electron(s), it most likely occupies the site where it will have several nearest neighbors, i.e. the 3-fold site and/or the bridge site on Ni(111). Similar calculations on other systems indicate that if the adsorbate has no unpaired electron, such as CH₄, NH₃, and H₂O, an atop atom site is either preferred or is similar in adsorption energy to bridge and 3-fold sites.^{27,33,34}

Since the 3a₁ symmetry orbital (C_{3v} classification) is not paired in CH₃, the bonding of the adsorbed methyl species to nickel would be expected to involve some electron transfer from the surface accompanied by image charge formation on surface. Our calculations show, at the filled 3-fold site C, that the net charge gained by carbon atom is 0.54 e, 0.40 e transferred from the surface and 0.14 e from the three hydrogens. This transfer of electrons to carbon is consistent with the calculated work function increase of 0.21 eV, calculated by configuration interaction as E⁺(Ni-CH₃) - E(Ni-CH₃). A 0.26-eV increase is estimated by Koopmans' theorem. After CH₃ adsorption, the surface layer has a net positive charge of 0.30 |e| with 0.08 |e| transferred from the d shell; the second layer net charge lost is 0.35 e; and the third layer gains a net charge of 0.25 e. Thus, for CH₃ adsorption on the (111) surface of Ni, lattice polarization effects accompanying adsorption extend beyond the surface layer. Table II lists the Mulliken populations and calculated work function changes for CH₃ at other geometries and adsorption sites.

The SCF eigenvalue spectra for the clean surface and with CH₃ adsorbed are shown in Figure 5. These results and the Mulliken population reveal considerable information about orbital interaction on CH₃ adsorption. On bonding to the surface, the degenerate 1e and 3a₁ in CH₃ are the orbitals principally involved in bonding with the surface. For all the adsorption sites studied, the 1e orbitals interact with the Ni 3d orbitals resulting in a strong mixing of orbitals, and the 3a₁ orbital forms a strong σ bond with 4s orbitals. The main 3a₁-4s mixing occurs at 4.9 eV below the Fermi level for CH₃ adsorbed at the most stable 3-fold site with a population (in percent) of [41(3a₁), 59(4s)]. The nearly de-

Table II. CH₃ and Nickel Layer Net Charge Distribution and Calculated Work Function Changes^a

site	layers					ΔW _F ^b eV
	C	H	I	II	III	
3-fold	-0.54	+0.14	+0.30	+0.35	-0.25	+0.26 +0.21 ^c
3-fold (tilted)	-0.55	+0.18	+0.27	+0.35	-0.25	+0.26
3-fold (rotated)	-0.59	+0.13	+0.33	+0.39	-0.26	+0.25
bridge	-0.50	+0.10	+0.29	+0.38	-0.27	+0.24
on-top	-0.36	+0.12	+0.21	+0.17	-0.14	+0.30

^a Values refer to the equilibrium geometry of CH₃ at 3-fold, bridge, and atop atom adsorption sites, unless otherwise indicated. Net charge is in units |e| by Mulliken population analysis of SCF solutions compared to the infinite separation between CH₃ and clean surface with basis superposition corrections. The Mulliken partitioning of the overlap distribution between C and surface orbitals overemphasizes the C charges. In the gas-phase CH₃ molecule, the net charge gained by carbon and lost by hydrogens is 0.29 |e|, respectively. ^b Calculated values from Koopman's theorem, unless otherwise indicated. The calculated W_F of the clean surface is 5.38 eV; 5.30 eV is obtained by CI calculations. The experimental W_F for the clean surface of Ni(111) is 5.15-5.35 eV for different coverages. ^c From CI calculations.

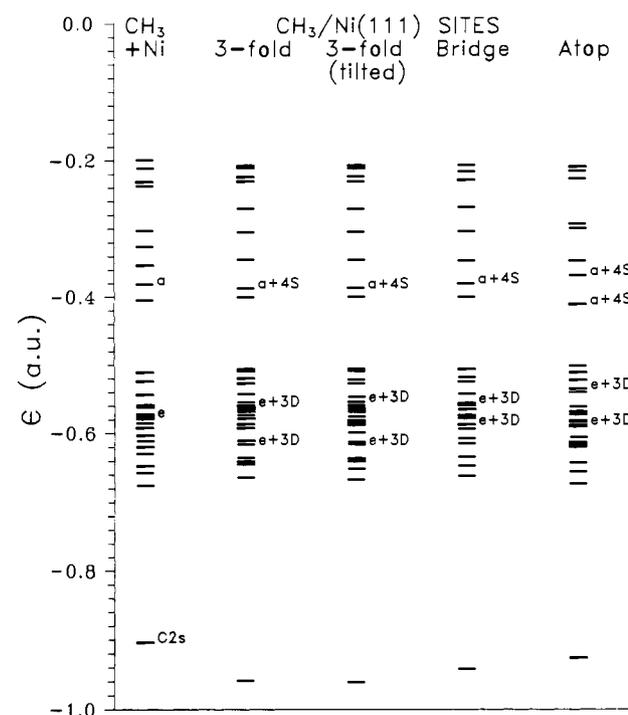


Figure 5. SCF eigenvalues for CH₃ adsorbed on Ni(111). a and e refer to the singly occupied 3a₁ symmetry orbital and doubly degenerate 1e symmetry orbitals of CH₃ levels (C_{3v} classification), respectively. The left most eigenvalue spectrum is for the clean surface plus CH₃. SCF eigenvalues for 60° rotation of hydrogens about the surface normal are not depicted due to the similarity to hydrogens without rotation. The eigenvalue spectrum for tilted CH₃ corresponds to the geometry in Figure 6b2, in which tilted CH₃ is shifted away from the 3-fold center by 0.67 au and H_A is directly above the Ni atom.

generate 1e-3d levels are 11.1 eV and 10.9 eV below E_F for 1e_y and 1e_x with populations (in percent) of [39(1e_y), 61(3d)] and [53(1e_x), 47(3d)] for CH₃ adsorbed at the 3-fold sites. Table III tabulates all the principal 1e and a₁ levels of the CH₃ chemisorbed on the nickel at different sites.

It should be pointed out that the availability of the nickel 3d orbitals to interact with the methyl is very important, as was the case for H, CH, and CH₂ adsorption on nickel. Similar conclusions have been reached by Siegbahn et al.,³⁵ Shustorovich et al.,³⁶

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Table III. CH₃ Orbital Levels and Mulliken Populations on the Ni(111) Surface^a

site	orbital	level, ^b eV	Mulliken populations, %		
			CH ₃ orbital	3d (Ni)	4s (Ni)
3-fold	2a ₁	-20.4	94		
	1e _y	-11.1	39	61	
		-9.6	22	78	
	1e _x	-10.9	53	47	
bridge		-9.4	21	79	
	3a ₁	-4.9	41		59
	2a ₁	-20.0	96		
	1e _y	-11.1	28	72	
on-top		-9.5	50	50	
	1e _x	-10.3	40	60	
		-9.5	22	78	
	3a ₁	-4.7	45		55
on-top	2a ₁	-19.5	91		
	1e _y	-10.1	28	72	
		-8.9	26	74	
	1e _x	-10.3	24	76	
	-8.9	45	55		
	3a ₁	-5.5	21		79
		-4.3	34		66

^a Values are for the minimum energy geometry of CH₃ at 3-fold, bridge, and atop atom adsorption sites, unless otherwise indicated. Orbital levels are relative to the Fermi levels. ^b Energy below the Fermi level.

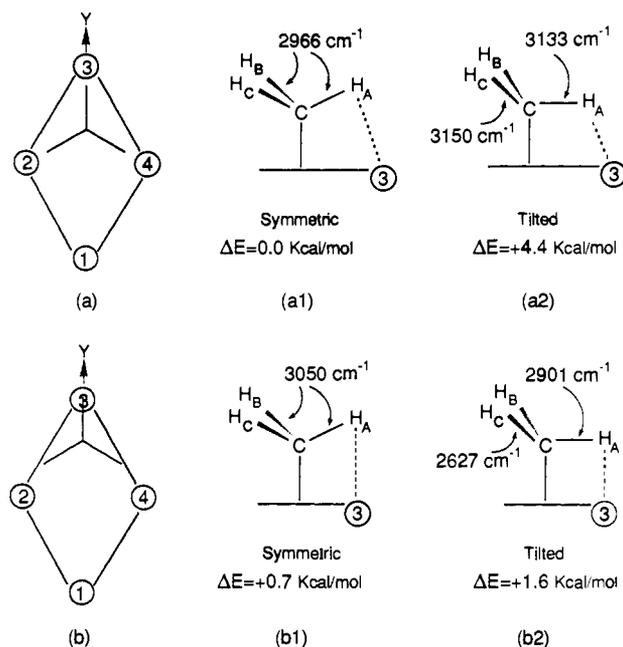
etc. We conclude that the combination of the covalent s and d bonding characterizes the bonding of CH₃ to the nickel surface.

V. C-H Stretching Frequency of CH₃

In this section, we investigate the possibility of low C-H frequencies of methyl on the Ni(111) surface which have been experimentally observed by Ceyer and co-workers.⁹ They report an unusually low C-H stretching frequency of 2680 cm⁻¹ for CH₃ obtained from high-resolution electron energy loss spectroscopy (HREELS). The low C-H frequency might be indicative of C-H...metal bonding, and thus it has been suggested that the methyl was tilted toward the surface. Applying EELS and studying ethylene and cyclohexane on Ni(111), Demuth, Ibach, and Lehwald²⁹ observed for the first time low C-H stretching frequencies for adsorbed hydrocarbons. They interpreted the low C-H frequencies as due to the attractive hydrogen-bonding interactions between the surface and the adsorbate and concluded that this phenomenon could be directly related to the dehydrogenation process.

The present studies show that the CH-metal interaction is not attractive, but repulsive. When CH₃ is in the equilibrium geometry, i.e., the H's in a plane parallel to the surface with a tetrahedral configuration as shown in Figure 6a1, the present results show a C-H stretching frequency for CH₃ adsorption at the 3-fold site of 2966 cm⁻¹. This value is typical of a CH stretching vibration in gas-phase molecules³⁷ as well as in adsorbed hydrocarbons.^{38,39} If CH₃ remains at the center of the 3-fold site, the calculated C-H stretching vibrations are around 3000–3100 cm⁻¹ for all the geometries studied, as shown in Figure 4, b-f.

If CH₃, in Figure 6a2, is shifted 0.67 au in the +Y direction, which puts the H_A atom directly above a Ni atom, as shown in Figure 6b2, the calculated C-H_B and C-H_C vibration frequencies decrease to 2627 cm⁻¹, and the C-H_A vibration becomes 2901 cm⁻¹. This geometry is 2.8 kcal/mol lower in energy than that for tilted CH₃ at the center of the 3-fold site in Figure 6a2, and it is only 1.6 kcal/mol higher in energy than the equilibrium geometry of Figure 6a1. In contrast, if CH₃ with the symmetric geometry of Figure 6a1 is shifted to the same position as shown



Net Charge Distribution					
Geometry	H _A	H _B	H _C	C	Ni(#3) (3d)
a1	+0.04	+0.05	+0.05	-0.54	+0.30 (+0.01)
a2	+0.05	+0.06	+0.06	-0.56	+0.27 (+0.02)
b1	+0.02	+0.06	+0.06	-0.54	+0.29 (+0.03)
b2	+0.08	+0.05	+0.05	-0.55	+0.25 (+0.03)

Figure 6. Calculated C-H vibrational frequencies, energies, and net charge distributions for CH₃ with symmetric and tilted geometries adsorbed at the 3-fold site center in (a) and away from the 3-fold site center by 0.67 au in (b) which places one of the hydrogens directly above the number three Ni atom, Ni(#3). Energies (kcal/mol) are relative to that of the equilibrium geometry depicted in (a1) which corresponds to an adsorption energy of $E_{\text{ads}} = 38.7$ kcal/mol. Net charge is in unit |e| by Mulliken population analysis of SCF solutions compared to the infinite separation between CH₃ and clean surface with basis superposition corrections.

in Figure 6b1, the calculated C-H vibrations are 3050 cm⁻¹ and the corresponding energy is 0.7 kcal/mol higher than in the center. A Mulliken population analysis shows that the charge distributions are slightly different for the two geometries. Compared with the infinite separation between the CH₃ molecule and the nickel surface, for the shifted CH₃ with C-H_A parallel to the surface, the net charge lost by H_A is 0.08 e, 0.05 e by both H_B and H_C, and 0.55 e net charge is gained by the C atom (including basis superposition corrections). For the shifted CH₃ with symmetric geometry, the net charges lost by H_A, H_B, and H_C are 0.02, 0.06, and 0.06 e, respectively, and the net charge gained by the C atom is 0.54 e. The population analysis also shows that the particular Ni atom directly under H_A transferred 0.04 e more charge for the symmetric CH₃ in Figure 6b1 than for the tilted CH₃ in Figure 6b2. The total charge transferred from the surface to CH₃ is essentially the same in both geometries.

The present calculations indicate that the soft or low C-H frequency of methyl on the Ni(111) surface occurs for a tilted geometry and a shift away from the 3-fold center. The resulting geometry which places one of the hydrogen atoms almost directly above a Ni atom is not the lowest energy (equilibrium) geometry but is only 1.6 kcal/mol higher than the calculated equilibrium geometry. A low C-H vibrational frequency of methyl was not found in the calculations for any other geometry or shifted position. By comparing Ni₄CH₃ and LiCH₃, Schule et al.¹⁴ have concluded that the origin of the low C-H frequency of CH₃ on Ni(111) is a simple charge-transfer effect.

It is worth noting that the first-order contribution of the anharmonic vibration is small in the calculations of the C-H vibrational frequency. By using a third degree polynomial for a 5-point fit (CI total energy versus C-H distance), without con-

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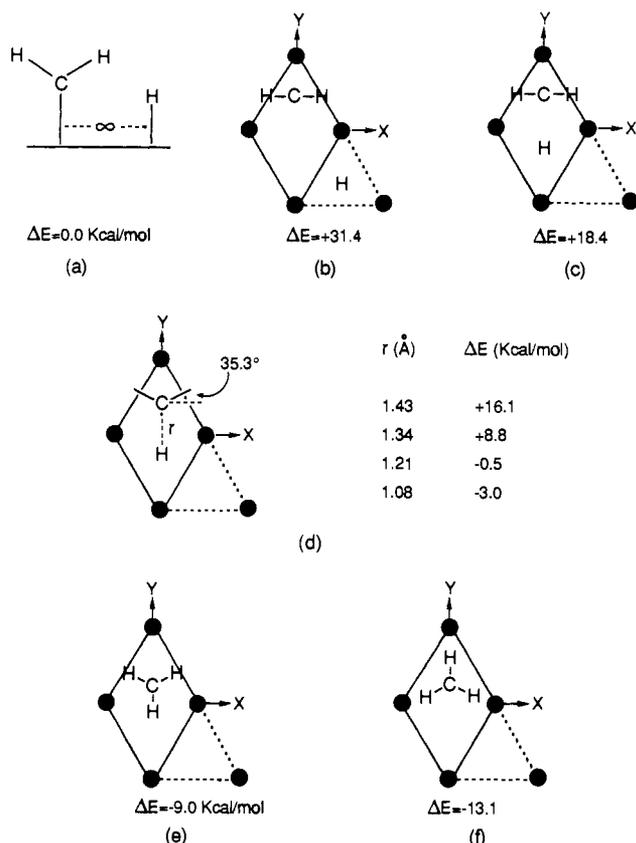


Figure 7. Energetics of coadsorbed CH_2 and H on Ni(111). Energies (kcal/mol) are relative to an infinite separation of CH_2 and H on the surface, determined from separate calculations of H/Ni(111) and CH_2 /Ni(111). The carbon atom is always above the 3-fold site C at the most stable adsorption site for CH_2 . The energy increases as H is moved to 3-fold sites adjacent to CH_2 but then decreases as the CH bond is formed as shown in (d) and CH_3 is rotated to a symmetric geometry above the surface. The overall reaction of $\text{CH}_2(\text{ads}) + \text{H}(\text{ads}) = \text{CH}_3(\text{ads})$ on Ni(111) is 13.1 kcal/mol exothermic driven by the energy of formation of an additional CH bond. Distances from the surface are not optimized in (b).

sidering the first anharmonic vibration contribution, the calculated C-H_B and C-H_C vibrational frequencies are 2646 cm^{-1} , and the C-H_A vibrational frequency is 2913 cm^{-1} for tilted CH_3 displaced from the 3-fold center (compared to 2627 and 2901 cm^{-1} , respectively, with the contribution of the first-order anharmonic term included). For symmetric CH_3 adsorbed at the 3-fold site, the calculated C-H vibrational frequency is 3003 cm^{-1} ; the first-order anharmonic contribution is only 37 cm^{-1} . By performing the same calculations of the C-H stretching frequency for gas-phase CH_3 by using the same basis set, the calculated C-H vibrational frequency for the same 5-point fit is 3003 cm^{-1} for the harmonic vibration and 2961 cm^{-1} including the first anharmonic correction.

VI. Reaction of $\text{CH}_2 + \text{H} = \text{CH}_3$

In this section, we consider the energetics for the reaction of $\text{CH}_2(\text{ads}) + \text{H}(\text{ads}) = \text{CH}_3(\text{ads})$

on the Ni(111) surface. The equilibrium geometries of the individual species H, CH_2 , and CH_3 are the same as discussed earlier. The choice of the product site was determined by the calculated strong bonding of CH_2 and CH_3 to 3-fold sites.

For the reaction of $\text{CH}_2(\text{ads}) + \text{H}(\text{ads}) = \text{CH}_3(\text{ads})$, Figure 7 depicts the most important intermediate structures calculated. At infinite lateral separation of CH_2 and H on the surface, the total CI energy is calculated as $E_\infty = E_{\text{Ni}} + E_{\text{CH}_2} + E_{\text{H}} + D_{0(\text{CH}_2)} + D_{0(\text{H})}$. Compared to this reference energy the reaction of $\text{CH}_2 + \text{H}$ to form CH_3 at the 3-fold site is exothermic by 13 kcal/mol. Moving H and CH_2 into separated 3-fold sites C and C' shown in Figure 7b, with $R = 2.48$ Å and without optimization of the

geometries, gives an energy 31 kcal/mol higher than for $R = \infty$ for a perpendicular distance of H from the surface of 1.18 Å. An H level is found the SCF eigenvalue spectrum at 6.0 eV below the Fermi level, slightly deeper than the H feature seen in photoemission at 5.8 eV below E_F .^{40,41} If H and CH_2 are moved to adjacent 3-fold sites C and A, corresponding to $R = 1.43$ Å, and an optimized perpendicular distance of H from the surface of 1.85 Å, as shown in Figure 7c, the total energy of the system is only 18 kcal/mol higher than E_∞ . Partial formation of the C-H bond is seen by analyzing the SCF solution at this distance, and the corresponding structure is a distorted and stretched CH_3 molecule. Tilting the plane of CH_2 to the +Y direction about 35.3° from the surface normal (this tilting angle makes the HCH angle of 109.5°) and keeping $R = 1.43$ Å, the energy is lowered by 2.3 kcal/mol, and the corresponding geometry is 16 kcal/mol higher in energy than E_∞ (in Figure 7d). Further decreasing the C-H_A distance from $R = 1.43$ Å to 1.34, 1.21, and to a normal bond length of 1.08 Å gives a total energy of the corresponding structures of +8.8, -0.5, and -3.0 kcal/mol relative to E_∞ , respectively. Tilting CH_3 to give a symmetric CH_3 with hydrogens in a plane parallel to the surface as shown in Figure 7e gives an energy 9.0 kcal/mol lower than E_∞ . Finally, rotating the CH_3 molecule 60° into its equilibrium position to produce the H's pointing toward the nearest Ni atoms (in Figure 7f) decreases the energy to a value 13.1 kcal/mol lower than the infinite separation energy of E_∞ .

As was the case for CH, adsorption of CH_2 at a 3-fold site on Ni(111) tends to block the nearby 3-fold sites as shown in Figure 7. Coadsorption of CH_2 and H at adjacent 3-fold sites (i.e. sites A and C in Figure 7) and at separated 3-fold sites (i.e. sites C and C') is unfavorable relative to infinite separation of $\text{CH}_2 + \text{H}$ on the surface. Our calculations thus show that there is an energy barrier to form $\text{CH}_3(\text{ads})$ from $\text{CH}_2(\text{ads}) + \text{H}(\text{ads})$ on the Ni(111) surface. The calculated activation energy for the particular pathway, shown in Figure 7 is a factor of 2 higher than our previous calculated energy barrier for the reaction of $\text{CH}(\text{ads}) + \text{H}(\text{ads}) = \text{CH}_2(\text{ads})$ for a similar pathway.³¹ It is possible that there would exist a pathway with a lower activation energy for the formation of $\text{CH}_3(\text{ads})$ from $\text{CH}_2(\text{ads}) + \text{H}(\text{ads})$, for example, if H atom were to bind with the C atom across a nearby Ni atom. This pathway would allow the incoming H (or CH_2) to avoid the higher energy barrier zone, i.e. the adjacent and separated 3-fold sites discussed above. The overall reaction of $\text{CH}_2(\text{ads}) + \text{H}(\text{ads}) = \text{CH}_3(\text{ads})$ on the surface is exothermic, driven by the energy of formation of an additional CH bond.

The present calculated exothermicity of 13 kcal/mol for the formation of CH_3 from CH_2 and H on the Ni(111) surface is in good agreement with the calculated value of 12 kcal/mol by Bell and Shustorovich.¹⁶ Using extended Hückel theory, Baetzold has calculated an exothermicity of 6 kcal/mol and zero activation energy for the reaction of $\text{CH}_2 + \text{H} = \text{CH}_3$ on Ni(111).¹³

After submission of this paper, a theoretical ab initio study of CH_x ($x = 0-3$) chemisorption on small cluster models of the Ni(100) and Ni(111) surfaces was reported by Siegbahn and Panas.⁴² The calculated chemisorption energy of CH_3 at the 3-fold site on a three Ni atom cluster is 49 kcal/mol; the C-H vibrational frequency is 2709 cm^{-1} , in good agreement with the experimental value of 2680 cm^{-1} . The formation of CH_3 from CH_2 and H on Ni(111) is estimated to be exothermic by 8 kcal/mol. The Ni-C bond lengths are not given for CH_3 on the three Ni atom cluster. By comparison, the present calculations predict a chemisorption energy of 39 kcal/mol for CH_3 at the 3-fold site and an exothermicity of 13 kcal/mol for the reaction of chemisorbed $\text{CH}_2(\text{ads}) + \text{H}(\text{ads}) = \text{CH}_3(\text{ads})$ on the surface. Basis superposition corrections of 5 kcal/mol are included in the present work. The basis superposition corrections might be considerable if applied to the calculations in ref 42, since a large

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basis set (6s,5p,2d,1f) is used for the C atom in the Ni₃CH₃ calculations. As in their previous work,¹⁴ Ni 3d orbitals are not explicitly included in ref 42.

VII. Conclusions

The conclusions of the present study of chemisorption of CH₃ on the (111) surface of nickel can be summarized as follows:

(1) Dissociated CH₃ binds strongly to the Ni(111) surface with adsorption energies of 39, 36, and 34 kcal/mol at the 3-fold, bridge, and atop atom sites, respectively. The two 3-fold adsorption sites have comparable stability. Compared to other CH_x fragments, the potential surface of the Ni(111) appears fairly flat for methyl radical adsorption.

(2) In the calculated equilibrium geometry of CH₃ on Ni(111), the hydrogens are in a plane parallel to the surface in a pyramidal configuration pointing in the direction above the nearest nickel atoms. The angle between the surface normal and the C-H bond is 112 ± 2°. Ni-C bond distances are 2.35, 2.34, and 2.03 Å for methyl at the 3-fold, bridge, and atop atom sites, respectively.

(3) Calculated CH₃-(Ni surface) stretching vibrational frequencies are 369, 296, and 416 cm⁻¹ for the 3-fold, bridge, and atop sites. The C-H stretching frequency for CH₃ at the center of a 3-fold site is 2966 cm⁻¹ for the equilibrium geometry.

(4) A low C-H frequency of methyl on Ni(111) is calculated at 2627 cm⁻¹ if CH₃ is shifted away from the 3-fold center by 0.67 au and if one of the hydrogens is tilted to give a C-H bond parallel to the surface. This shift puts one of the hydrogens directly above a Ni atom. This geometry is only 1.6 kcal/mol higher in energy than the calculated equilibrium geometry.

(5) The reaction of CH₂(ads) + H(ads) = CH₃(ads) on the surface is 13 kcal/mol exothermic. An energy barrier occurs when H and CH₂ are moved from infinite separation to adjacent 3-fold sites on the surface for the pathway investigated.

(6) Electron transfer occurs to carbon from the surface, primarily from the 4s band of Ni, accompanied by a work function increase of the lattice by about 0.2 eV. Ni 3d orbitals strongly interact with the 1e symmetry orbitals of CH₃. Covalent s and d bonding characterizes the bonding of methyl to the nickel surface.

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Registry No. Me*, 2229-07-4; Ni, 7440-02-0; CH₂, 2465-56-7; H, 12385-13-6.

Revival of an Old Structure Problem: Trithiapentalene—Real or Time-Averaged C_{2v} Symmetry?

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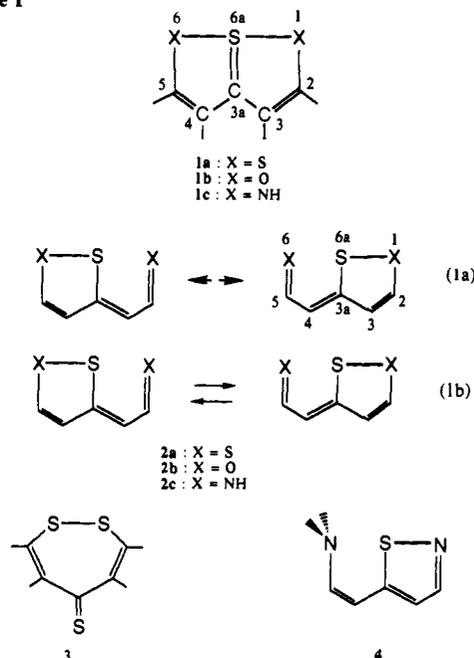
Contribution from the Dipartimento di Chimica e Chimica Industriale, Università di Pisa, Via Risorgimento 35, I-56100 Pisa, Italy, and Sektion Biowissenschaften of the University of Leipzig, Talstrasse 33, D-7010 Leipzig, Germany. Received January 9, 1990

Abstract: The structures of 1,6,6aλ⁴-trithiapentalene **1a** and the related compounds 1,6-dioxo-6aλ⁴-thiapentalene **1b** and 1,6-diaza-6aλ⁴-thiapentalene **1c** were examined on the basis of ab initio MO theory employing the 3-21G(*) and 6-31G* basis sets. In case of the trithia and dioxathia molecules, the bridged C_{2v} structures appear as most stable arrangements when the correlation energy is considered, whereas the corresponding open C_s forms are preferred at the SCF level. The same conclusions can be drawn for the 1,6-disubstituted diazathiapentalenes. Contrary to this, structure **4** with an aromatic isothiazole ring is the most stable form for the unsubstituted compounds.

The systematic study and discussion of the structure of 1,6,6aλ⁴-trithiapentalene **1a** and of related compounds, e.g., of types **1b** and **c**, began in 1958¹ and has attracted much attention because of the unusual binding behavior in these systems.²⁻⁵ Compounds belonging to this class had been obtained much earlier. Thus, the 2,5-dimethyl derivative of **1a** was already prepared in 1925, but the completely different structural formula **3** was proposed.⁶ Nowadays, most experimental data for 1,6,6aλ⁴-trithiapentalene are in agreement with the assumption of C_{2v} molecular symmetry corresponding to **1a**. Nevertheless, a final confirmation of this structure has not been given until now.²⁻⁵ Alternatively to this structure proposition, which is based on the no-bond-single-bond resonance concept, valence tautomerization between the two alternate forms of **2** may be postulated for which the C_{2v} form represents a transition state. Provided that the energy barrier is too low to be observed by the experimental methods used, distinctions between real or time-averaged C_{2v} symmetry may be impossible. In Scheme I, both interpretation possibilities are illustrated by eq 1a and 1b.

Former attempts to contribute to a decision of this question by means of quantum chemical methods were significantly influenced by the type of approximation chosen.^{2-5,7} Mostly, semiempirical MO methods and minimum basis set ab initio MO

Scheme I



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